



The Federal Bar Association Phoenix Chapter presents:

“CON ARTISTS I HAVE KNOWN”

Guest Speaker:

**James Burgess, Senior Enforcement Attorney, Securities Division,
Arizona Corporation Commission**

Mr. Burgess reviews two fraud schemes in which supposed investment professionals fleeced victims of millions of dollars of retirement savings. The program addresses some of the federal and state securities laws these con artists violated, and how civil litigation by their victims led to criminal convictions. The first con artist discussed is former stockbroker James Buchanan, who perpetrated a \$5 million scheme against members of his church and clients of the two national brokerage firms that employed him. Next, Mr. Burgess discusses Carlton Cabot and Timothy Kroll, who sold over \$253 million in real estate investments to more than 500 investors, mostly retirees. Unfortunately for the investors, Cabot and Kroll misrepresented their backgrounds and the risks of the investments, and misappropriated millions of dollars from the investments to fund their lavish lifestyles.

Thursday, October 20 2016, at 12:00 – 1:15PM

Sandra Day O'Connor U.S. District Courthouse | Jury Assembly Room

Cost in advance: \$15.00 (FBA Members) | \$25.00 (Non-members) | \$5.00 (Law Students) |
\$5 (Current Federal Judicial Law Clerks and Externs)

Cost at the door: \$20.00 (FBA Members) | \$30.00 (All others)

Buffet Lunch provided by Citron

**RSVP by Tuesday, October 18, 2016, to Stacy Linville at
stacy@mitchellsteincarey.com**

Payment options: (1) Pay ONLINE at <http://www.fedbar.org/Chapters/Phoenix-calendar>; OR
(2) Send checks in advance payable to “FBA – Phoenix Chapter” (print name of each attendee on check) to Andrew Breavington, Mitchell Stein Carey, P.C., 2 N. Central Ave, Suite 1900, Phoenix, AZ, 85004

May qualify for up to 1.0 hour CLE credit