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2			FEB 8, 2007			
3			CLERK US DISTRICT COURT			
4			DISTRICT OF ARIZONA BY <u>/s/D. Lucas</u> DEPUTY			
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6	IN THE UNITED STATES DISTRICT COURT					
7	FOR THE DISTRICT OF ARIZONA					
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9	In the	e matte	er of)			
10	MANAGEMENT PLAN FOR COURT) INTERPRETING SERVICES FOR THE) GENERAL ORDER 07-02					
11	OFFICE OF THE CLERK, UNITED STATES) DISTRICT COURT, DISTRICT OF ARIZONA)					
12	SUPERSEDES GENERAL ORDER 04-01					
13)			
14		МА	NACEMENT DI AN EOD COUDT INTEDDDETING SEDVICES			
15		MANAGEMENT PLAN FOR COURT INTERPRETING SERVICES FOR THE DISTRICT OF ARIZONA				
16	A.	INTI	RODUCTION			
17		The C	Court desires through this Plan to achieve effective management of the court interpreting			
18	resources in this District. The Clerk of Court shall designate a Court Interpreter Supervisor in each					
19	division who will be responsible for the day-to-day management of court interpreting services.					
20		The I	Plan is designed to:			
21		1.	effectively manage the staff and contract interpreters employed by this district;			
22		2.	assure the appointment and retention of fully-qualified court interpreters and the			
23			dismissal of court interpreters who are no longer performing in a satisfactory			
24			manner;			
25		3.	minimize the use of contract interpreters;			
26		4.	avoid backlogs of document translations;			
27		5.	ensure an equitable distribution of contract workload through a flexible rotational			
28			system of assignment; and			

6. enhance the efficient operation of the Court.

B. APPLICABILITY OF PLAN

This plan shall be applicable to all court interpreters whether permanent staff, daily contract, certified or non-certified. Official court interpreters are responsible for complying with all requirements in this Plan, as well as the Judiciary Guide to Policies and Procedures, and any additional policies established by this Court.

C. CLASSIFICATIONS OF COURT INTERPRETERS

Staff Interpreters

To be eligible for a full-time staff position with the Federal Court, an interpreter must be certified by the Administrative Office of the United States Courts. Languages for which certification examinations are available are Spanish, Navajo and Haitian-Creole.

AO Certified Contract Interpreters

To be included on the list of contract interpreters, the interpreter must be certified by the Administrative Office of the United States Courts and will be called as needed in rotation. When the court requires assistance, a certified interpreter must be the first point of contact. If none is available in the language needed, a non-certified interpreter can be called in accordance with the categories listed above.

Otherwise Qualified Interpreters

When a certified interpreter is not reasonably available, the court may use an "otherwise qualified interpreter" (28 U.S.C. §1827(b(2)). Otherwise qualified interpreters consist of two categories: 1.) professionally qualified interpreters (languages other than Spanish Navajo, and Haitian Creole), and 2.) language-skilled interpreters (all languages). AO certified contract interpreters and otherwise qualified interpreters are both contracted on either a half-day or full-day basis.

Professionally Qualified Interpreters:

To be included on the Director's master list of interpreters who are "professionally qualified", an interpreter must demonstrate to the local court:

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1.) prior existing employment as a conference or seminar interpreter (staff or freelance) for the Office of Language Services of the United States Department of State, or the United Nations, or related agencies for which examinations are a condition of employment; or 2.) membership in good standing in a professional interpreters' association whose by-laws and practices at a minimum require:

- (a) An application specifying a minimum of 50 hours of conference experience in the native language(s) of expertise; and
- (b) The sponsorship of three active members in good standing who have been members of the same association for at least two years, whose language(s) are the same as the applicant's, and who attest to having witnessed the performance of the applicant, as well as the accuracy of the statements on the application.

Interpreters wishing to be included on the master list of professionally qualified interpreters must submit a resume to the local district court detailing education, training, experience, current telephone number and mailing address, and when applicable, membership accreditation as required by this section. The court interpreter supervisor must approve any purchase order designation in advance of any fee commitment.

Language Skilled Interpreters:

Interpreters who do not qualify as "professionally qualified" interpreters or certified interpreters, but who can demonstrate to the satisfaction of the court their ability to interpret court proceedings from English to a designated language and from that language to English, will be placed on the court's local list and will be included on the Director's master list as a "language skilled interpreter."

D. <u>SUPERVISION</u>

The Clerk of the Court shall designate a Court Interpreter Supervisor in both the Phoenix

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1	Tucson divisions, whose duties and responsibilities are to provide day-to-day management of the		
2	court interpreters, including but not limited to:		
3		1.	Ensuring the roster of certified and non-certified contract interpreters for the District
4			of Arizona is regularly updated on the Judiciary's Court Interpreter Database.
5		2.	Effectively managing all staff and contract court interpreters to ensure compliance
6			with the policies and procedures of this district and the judiciary.
7		3.	Timely verifying contract interpreter vouchers submitted for payment are accurate
8			and in compliance with the Judiciary Policies and Procedures.
9		4.	Maintaining the interpreter usage statistical data and ensuring submission of this data
10			to the Administrative Office, as required.
11		5.	Serving as a liaison for interpreting issues with the Office of the Federal Public
12			Defender, U.S. Attorney, and other governmental agencies.
13		6.	Coordinating a document translation system for incoming translation requests
14			submitted to the court by other governmental agencies or CJA attorneys.
15		7.	Evaluating the performance of staff interpreters on an annual basis in accordance
16			with relevant provisions contained in Chapter 4, Section 4.04, of the District of
17			Arizona Human Resources Manual.
18		8.	Securing required authorizations and approvals for travel by both staff and contract
19			interpreters.
20	Е.	STAF	F INTERPRETERS
21		<u>Duties</u>	and Responsibilities
22		1.	Monitoring court calendars for interpreter coverage requirements.
23		2.	Receiving and distributing letters for translation.
24		3.	Contacting the other staff interpreters and per diem interpreters if assistance is
25			necessary.
26		4.	Updating the 'no-interpreter list'.
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1 5. Stocking all necessary forms. 2 6. Ensuring the interpreting equipment (phonic ear) as well as the courtroom equipment 3 is in proper working condition. 4 7. Reporting any problems with the equipment to the appropriate parties. 5 8. Ensuring the roster of certified and non-certified contract interpreters for the District 6 of Arizona is regularly updated on the Judiciary's Court Interpreter Database. 7 9. Verifying that contract interpreter vouchers are submitted for payment and verified 8 for accuracy and compliance with the Judiciary Policies and Procedures in a timely 9 manner. 10 10. Maintaining the interpreter usage statistical data and ensuring submission of this 11 data to the Administrative Office, as required. 12 11. Logging and coordinating the assignment and completion of incoming translation 13 requests submitted to the court by other governmental agencies or CJA attorneys 14 according to established procedures and policies. 15 12. Abiding by the Code of Professional Responsibility of the Official Interpreter of the 16 United States Courts (Appendix A). 17 Annual and Sick Leave 18 As permanent employees of the Clerk's Office, official staff interpreters earn annual and sick 19 leave in accordance with the Leave Act, 5 U.S.C.§6301. All matters relating to the use of sick 20 and/or annual leave shall be governed by and in accordance with relevant provisions contained in 21 Chapter 3, of the District of Arizona Human Resources Manual. 22 Outside Employment 23 Private or freelance interpretation work by the staff interpreters of this Court must be 24 accomplished within the following guidelines: 25 1. All private work must be done on off-duty hours, out of the courthouse offices, with

personal equipment. No representation or use of government employment may be

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made by an interpreter to solicit or obtain outside employment. An interpreter may not use the government courthouse address or job title in such work. A representation of being a U.S. Courts certified interpreter is acceptable.

- 2. No private work may be done for any agency, attorney, or litigant that is connected with any current or reasonably prospective litigation before the Court, without the prior, express, written authorization of the judge presiding over such litigation, the Clerk of Court, or the Chief Deputy. If written authorization is obtained, such work must be completed during off-duty hours, out of the courthouse offices, with personal equipment.
- 3. In no case shall an interpreter perform work in violation of the District of Arizona's Human Resources Manual, Section 2.04 Code of Conduct, or Section 4.05 Outside Employment. In summary, an interpreter shall not, absent the permission defined in #2 above, perform any work that creates an actual or perceived conflict of interest or impropriety or interferes with the performance of his or her official duties.

F. CONTRACT INTERPRETERS

Compensation

Contract interpreters, also referred to as per diem interpreters, are compensated on a half-day or a full-day basis. Compensation for the half-day contract shall be paid for interpreter services provided for any fraction of the day up to a maximum of four hours. Compensation for full-day contract shall be paid for interpreter services provided in excess of 4 hours of the day up to a maximum of eight hours.

Contracted for One Hearing

Other than for trial, an interpreter contracted for a single hearing is generally deemed to have been contracted on a half-day basis and shall be available for the entire half-day. For instance, if a contract interpreter is needed for a 10:00 a.m. hearing, that interpreter should report to the courthouse at 8:00 a.m. and be available to cover additional matters or provide assistance with

document translations from 8:00a.m. until 12:00 p.m.

Payment on Late Cancellation

If an interpreter is contracted more than 24 hours in advance and is not notified of the cancellation at least 24 hours prior to the start of an assignment, the interpreter shall generally be paid compensation for at least a half-day of services. The contract interpreter shall have the option of accepting such compensation and being available on-call in the interpreters' office and assisting with document translations for the half-day, or waiving all due compensation and taking advantage of other work opportunities.

Dual Compensation

Although staff interpreters may provide the names of contract interpreters as a referral courtesy to private attorneys when requested, they will not assign contract interpreters to civil matters or to work for private attorneys. Interpreters contracted by the Court for the full-day or half-day may not work for nor receive compensation from private counsel during time concurrent with the court paid full-day or half-day. There is nothing that prohibits a contract interpreter from accepting the offer of work from private counsel at any other time.

Duty Hours

The following are specific guidelines to which the staff interpreters will adhere to in determining appropriate compensation for contract interpreters paid on a per diem basis:

- 1.) The morning court session may begin as early as 8:00 a.m. and normally concludes at approximately 12:00 noon. Thus, an interpreter assigned to a matter which is scheduled for 10:00 a.m. will begin the day in the office at 8:00 a.m., and will be available for assignment or to provide translation services until the scheduled time the assigned matter begins. For service of up to four hours in the morning session, a contract interpreter will be paid the half-day rate when that is the only service rendered that day.
- 2.) The afternoon court session may begin as early as 1:00 p.m. and normally ends by 5:00 p.m. Interpreters who have been contracted to provide half-day coverage in the

afternoon are to report at 1:00 p.m. and shall be available for assignment or to provide translation services until the scheduled time the assigned matter begins. For service of up to four hours in the afternoon session, a contract interpreter will be paid the half-day rate when that is the only service rendered that day.

3.) An interpreter who provides service in both the morning and the afternoon sessions and whose work is interrupted by the lunch break will be paid for a full-day of service. Upon conclusion of the last matter of the day in which the contractor is scheduled, he/she is to report to the interpreter's office to assist with additional assignments, etc., until the end of the regular business day.

Overtime Rate

Overtime rates will apply when an interpreter has worked a full 8 hour day and the court requires his/her services beyond the regular 8 hours. In this instance, the interpreter will be paid at the rate of \$49.00 per hour for each hour worked after the regular 8-hour workday.

Preparing Vouchers

Payment for contracted services will require the contract interpreter to prepare and file the Interpreter's Report of Services and Claim for Compensation and Expenses form (AO 322) to receive compensation. Staff interpreters will assist the contract interpreter in the processing of these claim forms however, it is the responsibility of the contract interpreter to ensure the claim form is completed in its entirety in order to facilitate processing and expedite payment. The claim form will include the date, case name, case number, and the appropriate rate of compensation. This information must be verified and confirmed by a staff interpreter prior to the voucher being submitted for payment.

Authorizations to Travel

Written authorization must be obtained from the court interpreter supervisor and the chief deputy **prior** to any travel being performed by a per diem interpreter. It is the responsibility of the staff interpreter to inform their immediate supervisor in writing of the anticipated travel expenses of the per diem interpreter. The request shall contain estimated expenses (airfare, mileage, lodging,

attached to the per diem interpreter's travel voucher.

G. <u>INTERPRETER ASSIGNMENTS</u>

Assignment Method - Spanish

Staff interpreters assigned to a particular division will be the first individuals assigned to cover court proceedings in that division. If additional assistance is needed in a divisional office, it is the responsibility of that office to first contact the other divisional office to seek assistance from their staff interpreters. For example, if the Tucson division is in need of assistance, their initial point of contact would be the Phoenix office to inquire if one of the Phoenix staff interpreters is available to assist. If the office requiring assistance is unable to obtain a response from the other division by 3:30 p.m. on the day prior to the scheduled hearing, the requesting office may then contact a per diem interpreter to cover the assignment. Contract personnel will only be utilized on an as-needed basis and only in the instances where no staff interpreter district-wide is available. Contract interpreters shall be used on a rotational basis, and shall be determined by: 1.) the best fiscal interest of the Court; and 2.) the availability and dependability of the contract interpreter.

etc.), and the anticipated duration of the per diem interpreter's assignment. The immediate

supervisor will review the request and if approved, will forward the request to the chief deputy for

final approval. A copy of the chief deputy's authorization (normally an e-mail message) shall be

Assignment Method - Other than Spanish

The staff interpreters shall maintain a master list of local per diem interpreters who are available to interpret languages other than Spanish. This information should be updated regularly and included on the National Court Interpreter Database, which is located on the J-Net.

Availability for Reassignment

All court interpreters, staff as well as contract personnel, shall notify the staff interpreter assigned to scheduling of their availability for reassignment when their scheduled court appearances are canceled and/or continued. When not in an actual proceeding, staff interpreters shall be in their offices and contract interpreters shall be in the interpreter's waiting area unless otherwise directed.

Lengthy Proceedings

The District of Arizona policy is to assign interpreters to a trial or any lengthy proceeding

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interpreters will relieve each other every 30 minutes.

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H. **DOCUMENT TRANSLATIONS**

As time permits, all staff and per diem interpreters will be requested to assist the Court, CJA attorneys, and the Probation office with document translations. It is the policy of this court to discourage the use of official court interpreters for document translations requested by the Federal Public Defender' Office, retained counsel, or in civil matters. However, if a request is approved by a judicial officer, such services will be provided on a cost-reimbursable basis in accordance with Section (g) of the Court Interpreter's Act. The established half and full day rates for certified interpreters will apply. Counsel of record must be present at all times when documents are being read/interpreted by the staff interpreter to the defendant/client.

in pairs to reduce the element of fatigue and consequent possibility of error. It is expected that the

Types and Quantity of Documents

CJA panel attorneys are required to submit all presentence correspondence, i.e., sentencing letters, to the staff interpreters' office for translation no later than 15 working days prior to the sentencing date. The number of letters which may be submitted for translation for any one defendant is limited to 10. If counsel submits more than 10 letters, all of the letters will be returned to counsel for selection of the 10 to be translated. Attachments such as birth certificates, medical documents, and miscellaneous certificates may receive summary translations at the discretion of the interpreter's office. All documents submitted for translation should be accompanied by a cover letter that includes the name and address of the contact person, the case number, the name of the defendant, the sentencing date and the number of documents submitted.

I. STATISTICAL REPORTING

Statistics regarding interpreter usage by language are to be maintained in the Phoenix, Tucson and Yuma offices on a monthly basis. The monthly reports from each division are then compiled quarterly by each divisional office and submitted on the Interpreter Usage by Language forms (Appendix B & C) to either the Phoenix or Tucson staff interpreters' office on a rotating basis. The quarterly reports for all divisions are totaled and submitted to the Administrative Office as required.

J. **INTERPRETER REFERRALS**

The staff interpreters shall maintain a roster of certified, professionally qualified and language-skilled per diem interpreters who reside in this district. A master roster of certified, professionally qualified, and language-skilled interpreters, simply referred to as the National Court Interpreter Database, is available on the J-Net. This database contains per diem interpreter information for the entire country. It is the responsibility of staff interpreters to review and update the information in this database to ensure the information regarding the interpreters residing in Arizona is accurate. Any new interpreters used in this district should be added to the database immediately, and information such as addresses and telephone numbers for existing interpreters should be updated quarterly.

DATED this 8th day of February 2007.

Chief United States District Judge